

# Vector Semantics

Reference:

- D. Jurafsky and J. Martin, “Speech and Language Processing”

# Motivation

- Words that occur in *similar contexts* tend to have *similar meanings*.
- The meaning of a word is related to the distribution of words around it.

# Motivation

- Imagine you had never seen the word *tesgüino*.
- Let's consider the following 4 sentences:

A bottle of *tesgüino* is on the table.

Everybody likes *tesgüino*.

*Tesgüino* makes you drunk.

We make *tesgüino* out of corn.

# Motivation

- From these sentences, you can figure out *tesgüino* means a fermented alcoholic drink like beer, made from corn.
- We can capture this same intuition automatically by just counting words in the context of *tesgüino*; we'll tend to see words like *bottle* and *drink*.
- These words and other similar context words also occur around the word *beer* or *liquid* or *tequila*.
- This can help us discover the similarity between these words and *tesgüino*.

# Motivation

- We introduce such **distributional** methods.
- The meaning of a word is computed from the distribution of words around it.
- These words are generally represented as a *vector* or array of numbers related in some way to counts.
- *Vector semantics*:
  - distributionalist intuition
  - vector intuition

# Motivation

- We introduce a simple method in which the meaning of a word is simply defined by how often it occurs near other words.
- We will see that this method results in very long vectors that are sparse.
- We will expand on this simple idea by introducing a way of constructing short, *dense* vectors that have useful semantic properties.

# Motivation

- The idea of vector semantics is thus to represent a word as a point in some multi-dimensional semantic space.
- The shared intuition of vector space models of semantics is to model a word by *embedding* it into a vector space.
- The representation of a word as a vector is often called an **embedding**.

# Words and Vectors

## Vectors and Documents

- Vector or distributional models of meaning are based on **co-occurrence** matrix – a way of representing how often words co-occur.
- In a **term-document matrix**, each row represents a word in vocabulary and each column represents a document.

# Words and Vectors

## Vectors and Documents

- The following table shows a small selection from a term-document matrix.

	As You Like It	Twelfth Night	Julius Caesar	Henry V
battle	1	0	7	13
good	114	80	62	89
fool	36	58	1	4
wit	20	15	2	3

- The matrix shows the occurrence of four words in four plays by Shakespeare.
- Each cell in the matrix represents the number of times a particular word occurs in a particular document.

# Words and Vectors

## Vectors and Documents

- Each position indicates a meaningful dimension on which the documents can vary.

	As You Like It	Twelfth Night	Julius Caesar	Henry V
battle	1	0	7	13
good	114	80	62	89
fool	36	58	1	4
wit	20	15	2	3

- The first dimension for both these vectors corresponds to the number of times the word *battle* occurs, and we can compare each dimension.

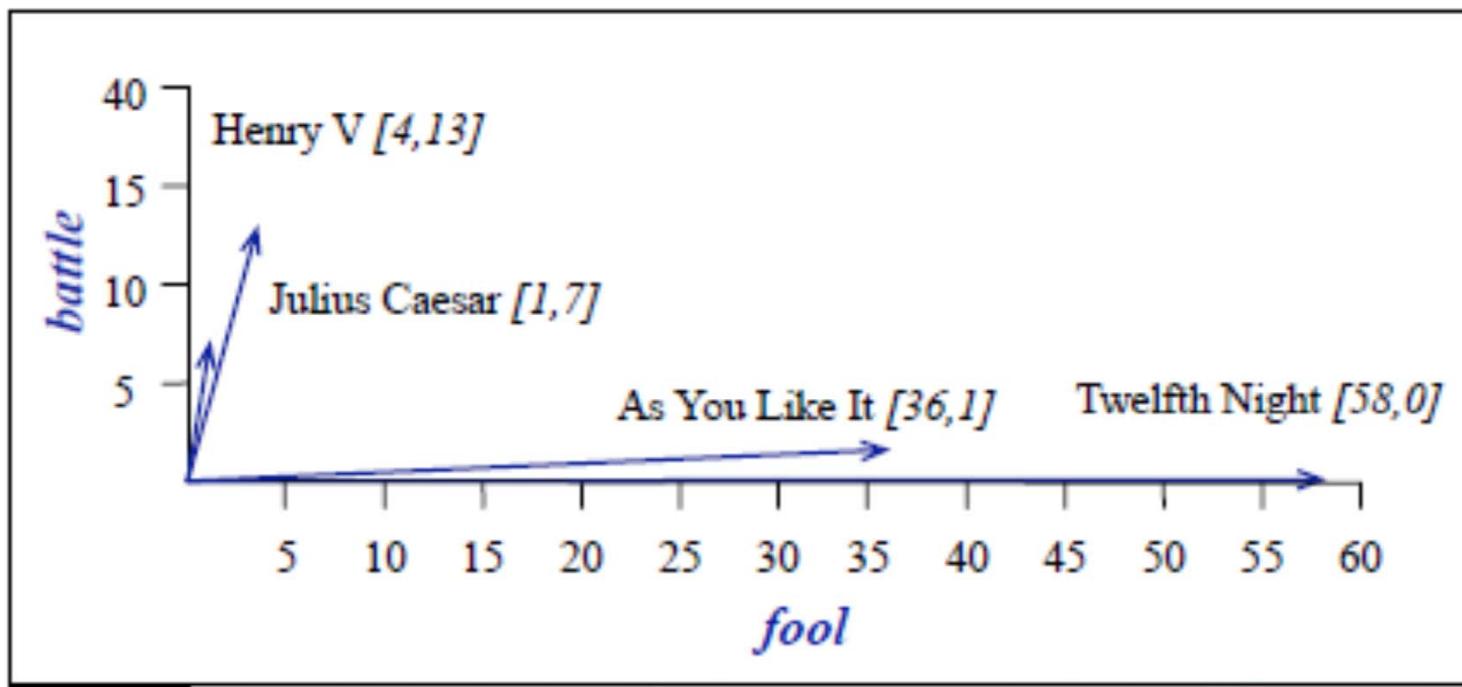
# Words and Vectors

## Vectors and Documents

- We can think of the vector for a document as identifying a point in  $|V|$ -dimensional space.
- The documents are points in 4-dimensional space.

# Words and Vectors

## Vectors and Documents



**Figure 6.4** A spatial visualization of the document vectors for the four Shakespeare play documents, showing just two of the dimensions, corresponding to the words *battle* and *fool*. The comedies have high values for the *fool* dimension and low values for the *battle* dimension.

- Generally, the term-document matrix  $X$  has  $|V|$  rows and  $D$  columns.

# Words and Vectors

## Words as vectors

- We've seen that documents can be represented as vectors in vector space.
- However, vector semantics can also be used to represent the meaning of *words*, by associating each word with a vector.
- The word matrix is now a **row vector** rather than a column vector, hence the dimensions of the vector are different.

# Words and Vectors

## Words as vectors

- The four dimensions of the vector for *fool*, [36,58,1,4], correspond to the four Shakespeare plays.
- The same four dimensions are used to form the vectors for the other 3 words.
- Similar words have similar vectors because they tend to occur in similar documents.
- The term-document matrix lets us represent the meaning of a word by the documents it tends to occur in.

# Words and Vectors

## Words as vectors

- It is most common to use a different kind of context for the dimensions of a word's vector representation.
- Rather than the term-document matrix we use **term-term matrix**.
- **Term-term matrix** is more commonly called **word-word matrix** or **term-context matrix**.
- The columns of the **term-term matrix** are labeled by words rather than documents.

# Words and Vectors

## Words as vectors

- This matrix is thus of dimensionality  $|V| \times |V|$ .
- Each cell records the number of times the row word and the column word co-occur in some context.
- We can create a window around the word, for example of 4 words to the left and 4 words to the right.
- The cell represents the number of times the column word occurs in a  $\pm 4$  word window around the row word.

# Words and Vectors

## Words as vectors

- Here are 7-word windows surrounding four sample words from the Brown corpus:

sugar, a sliced lemon, a tablespoonful of **apricot** preserve or jam,  
a pinch each of,

their enjoyment. Cautiously she sampled her first **pineapple** and  
another fruit whose taste she likened

well suited to programming on the digital **computer**. In finding  
the optimal R-stage policy from

for the purpose of gathering data and **information** necessary for  
the study authorized in the

# Words and Vectors

## Words as vectors

- For each word we collect the counts of the occurrences of context words.
- The following table shows a selection from the word-word co-occurrence matrix computed from the Brown corpus for these four words.

	aardvark	...	computer	data	pinch	result	sugar	...
apricot	0	...	0	0	1	0	1	
pineapple	0	...	0	0	1	0	1	
digital	0	...	2	1	0	1	0	
information	0	...	1	6	0	4	0	

Co-occurrence vectors for four words, computed from the Brown corpus, showing only six of the dimensions (hand-picked for pedagogical purposes). The vector for the word *digital* is outlined. Note that a real vector would have vastly more dimensions and thus be much sparser.

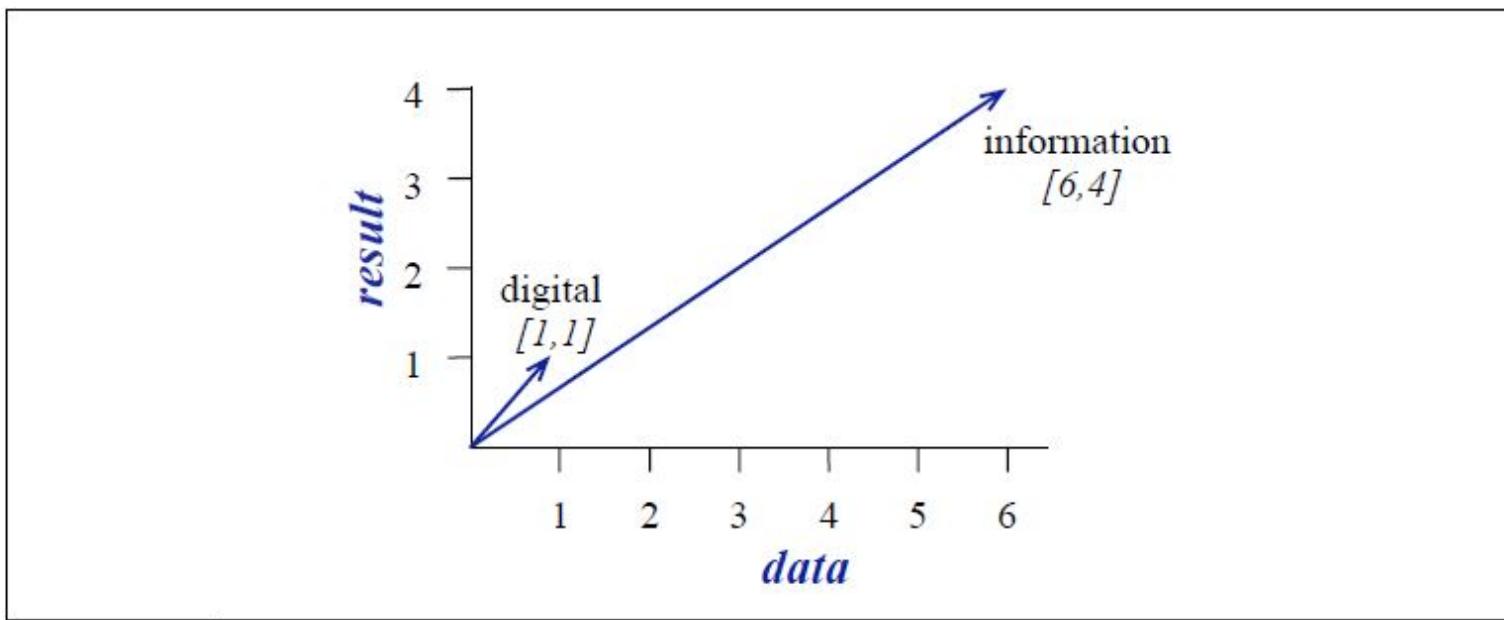
# Words and Vectors

## Words as vectors

- The two words *apricot* and *pineapple* are more similar to each other than they are to other words like *digital*.
- Conversely, *digital* and *information* are more similar to each other than they are to other words like *apricot*.

# Words and Vectors

## Words as vectors



**Figure 15.5** A spatial visualization of word vectors for *digital* and *information*, showing just two of the dimensions, corresponding to the words *data* and *result*.

# Words and Vectors

## Words as vectors

- The size of the window used to collect counts can vary based on the goals of the representation.
- Generally, the size of the window is between 1 and 8 words on each side of the target word.

# Measuring Similarity: the Cosine

- Similarity between two target words  $v$  and  $w$ , we need a measure taking two such vectors.
- The most common similarity metric is the **cosine** of the angle between the vectors.
- The **cosine** similarity metric between two vectors  $\vec{v}$  and  $\vec{w}$  thus can be compute as:

$$\text{cosine}(\vec{v}, \vec{w}) = \frac{\vec{v} \cdot \vec{w}}{|\vec{v}| |\vec{w}|} = \frac{\sum_{i=1}^N v_i w_i}{\sqrt{\sum_{i=1}^N v_i^2} \sqrt{\sum_{i=1}^N w_i^2}}$$

# Measuring Similarity: the Cosine

- Let's see how the cosine computes which of the words *apricot* or *digital* is closer in meaning to *information*.
- We use raw counts from the following simplified table:

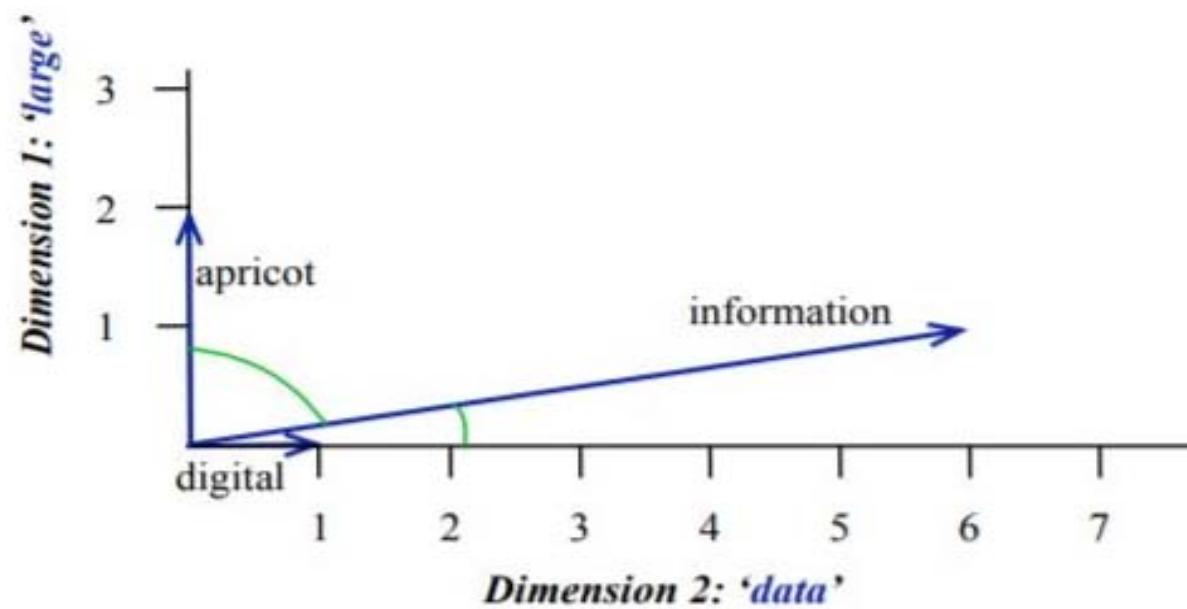
	large	data	computer
apricot	2	0	0
digital	0	1	2
information	1	6	1

$$\cos(apricot, information) = \frac{2 + 0 + 0}{\sqrt{4 + 0 + 0}\sqrt{1 + 36 + 1}} = \frac{2}{2\sqrt{38}} = .16$$

$$\cos(digital, information) = \frac{0 + 6 + 2}{\sqrt{4 + 0 + 0}\sqrt{1 + 36 + 1}} = \frac{8}{\sqrt{38}\sqrt{5}} = .58$$

# Measuring Similarity: the Cosine

- The model decides that *information* is closer to *digital* than it is to *apricot*, a result that seems sensible.



# TD-IDF: Weighting Terms in the Vector

- Consider the term-document matrix, it turns out that simple frequency isn't the best measure of association between words.
- One problem is that raw frequency is very skewed and not very discriminative. If we want to know what kinds of contexts are shared by *apricot* and *pineapple* but not by *digital* and *information*, we're not going to get good discrimination from words like *the*, *it*, or *they*, which occur frequently with all sorts of words and aren't informative about any particular word.

# TD-IDF: Weighting Terms in the Vector

- We saw this also in the previous table for the Shakespeare corpus; the dimension for the word *good* is not very discriminative between plays; *good* is simply a frequent word and has roughly equivalent high frequencies in each of the plays.

# TD-IDF: Weighting Terms in the Vector

- It's a bit of a paradox. Word that occur nearby frequently (maybe *sugar* appears often in our corpus near *apricot*) are more important than words that only appear once or twice. Yet words that are too frequent—ubiquitous, like *the* or *good*— are unimportant.
- How can we balance these two conflicting constraints?

Remarks: The TF-IDF algorithm (the ‘-’ here is a hyphen, not a minus sign)

# TD-IDF: Weighting Terms in the Vector

- The **tf-idf** weighting of the value for word  $t$  in document  $d$ ,  $w_{t,d}$  thus combines term frequency with idf:

$$w_{t,d} = tf_{t,d} \times idf_t$$

- The following table applies tf-idf weighting to the Shakespeare term-document matrix in the previous table. Note that the tf-idf values for the dimension corresponding to the word *good* have now all become 0; since this word appears in every document, the tf-idf algorithm leads it to be ignored in any comparison of the plays. Similarly, the word *fool*, which appears in 36 out of the 37 plays, has a much lower weight.

# TD-IDF: Weighting Terms in the Vector

	As You Like It	Twelfth Night	Julius Caesar	Henry V
battle	0.074	0	0.22	0.28
good	0	0	0	0
fool	0.019	0.021	0.0036	0.0083
wit	0.049	0.044	0.018	0.022

- A tf-idf weighted term-document matrix for four words in four Shakespeare plays. Note that the idf weighting has eliminated the importance of the ubiquitous word *good* and vastly reduced the impact of the almost-ubiquitous word *fool*.

# Applications of the TF-IDF Vector Model

- The vector semantics model represents a target word as a vector with dimensions corresponding to all the words in the vocabulary (length  $|V|$ , with vocabularies of 20,000 to 50,000), which is also sparse (most values are zero).

# Applications of the TF-IDF Vector Model

- The values in each dimension are the frequency with which the target word co-occurs with each neighboring context word, weighted by tf-idf.
- The model computes the similarity between two words  $x$  and  $y$  by taking the cosine of their tf-idf vectors; high cosine, high similarity.
- This entire model is sometimes referred to for short as the tf-idf model, after the weighting function.

# Applications of the TF-IDF Vector Model

- One common use for a tf-idf model is to compute word similarity, a useful tool for tasks like finding word paraphrases, tracking changes in word meaning, or automatically discovering meanings of words in different corpora.
- For example, we can find the 10 most similar words to any target word  $w$  by computing the cosines between  $w$  and each of the  $V - 1$  other words, sorting, and looking at the top 10.

# Applications of the TF-IDF Vector Model

- The tf-idf vector model can also be used to decide if two documents are similar.
- We represent a document by taking the vectors of all the words in the document, and computing the centroid of all those vectors.

# Applications of the TF-IDF Vector Model

- The centroid is the multidimensional version of the mean; the centroid of a set of vectors is a single vector that has the minimum sum of squared distances to each of the vectors in the set. Given  $k$  word vectors  $w_1, w_2, \dots, w_k$ , the centroid document vector  $d$  is:

$$d = \frac{w_1 + w_2 + \cdots + w_k}{k}$$

# Applications of the TF-IDF Vector Model

- Given two documents, we can then compute their document vectors  $d_1$  and  $d_2$ , and estimate the similarity between the two documents by  $\cos(d_1, d_2)$ .
- Document similarity is also useful for all sorts of applications; information retrieval, plagiarism detection, news recommender systems, and even for digital humanities tasks like comparing different versions of a text to see which are similar to each other.

# Weighing terms: Pointwise Mutual Information (PMI)

- It turns out that frequency isn't the best measure of association between words.
- One problem is raw frequency is very skewed and not very discriminative.
- We'd like context words that are particularly informative about the target word.
- The best weighting or measure of association between words should tell us how much more often than chance the two words co-occur.

# Weighing terms: Pointwise Mutual Information (PMI)

- Pointwise mutual information is such a measure.
- It is based on the notion of mutual information.
- The **mutual information** between two random variables  $X$  and  $Y$  is

$$I(X, Y) = \sum_x \sum_y P(x, y) \log_2 \frac{P(x, y)}{P(x)P(y)}$$

# Weighing terms: Pointwise Mutual Information (PMI)

- The **pointwise mutual information** is a measure of how often two events  $x$  and  $y$  occur, compared with what we would expect if they were independent:

$$I(x, y) = \log_2 \frac{P(x, y)}{P(x)P(y)}$$

# Weighing terms: Pointwise Mutual Information (PMI)

- We can apply this intuition to co-occurrence vectors by defining the pointwise mutual information association between a target word  $w$  and a context word  $c$  as

$$PMI(w, c) = \log_2 \frac{P(w, c)}{P(w)P(c)}$$

- The numerator tells us how often we observed the two words together.

# Weighing terms: Pointwise Mutual Information (PMI)

- The denominator tells us how often we would **expect** the two words to co-occur assuming they each occurred independently, so their probability could be multiplied.
- The ratio gives us an estimate of how much more the target and feature co-occur than we expect by chance.
- Negative PMI values tend to be unreliable unless our corpora are enormous.

# Weighing terms: Pointwise Mutual Information (PMI)

- It is more common to use Positive PMI which replaces all negative PMI values with zero:

$$PPMI(w, c) = \max\left(\log_2 \frac{P(w, c)}{P(w)P(c)}, 0\right)$$

- Assume we have a co-occurrence matrix  $F$  with  $W$  rows and  $C$  columns, where  $f_{ij}$  gives the number of times word  $w_i$  occurs in context  $c_j$ .

# Weighing terms: Pointwise Mutual Information (PMI)

- This can be turned into a PPMI matrix where  $ppmi_{ij}$  gives the PPMI value of word  $w_i$  with context  $c_j$  as follows:

$$p_{ij} = \frac{f_{ij}}{\sum_{i=1}^W \sum_{j=1}^C f_{ij}} \quad p_{i*} = \frac{\sum_{j=1}^C f_{ij}}{\sum_{i=1}^W \sum_{j=1}^C f_{ij}}$$
$$p_{*j} = \frac{\sum_{i=1}^W f_{ij}}{\sum_{i=1}^W \sum_{j=1}^C f_{ij}}$$
$$PPMI_{ij} = \max(\log_2 \frac{p_{ij}}{p_{i*}p_{*j}}, 0)$$

# Weighing terms: Pointwise Mutual Information (PMI)

- We could compute
$$PPMI(w = \text{information}, c = \text{data})$$
- Consider the following word-word co-occurrence matrix.

	aardvark	...	computer	data	pinch	result	sugar	...
apricot	0	...	0	0	1	0	1	
pineapple	0	...	0	0	1	0	1	
digital	0	...	2	1	0	1	0	
information	0	...	1	6	0	4	0	

# Weighing terms: Pointwise Mutual Information (PMI)

- Assume it encompassed all the relevant word contexts/dimensions:

$$P(w = \text{information}, c = \text{data}) = \frac{6}{19} = .316$$

$$P(w = \text{information}) = \frac{11}{19} = .579$$

$$P(c = \text{data}) = \frac{7}{19} = .368$$

$$\begin{aligned} PPMI(w = \text{information}, c = \text{data}) \\ = \log_2 \left( \frac{.316}{(.368 * .579)} \right) = .568 \end{aligned}$$

# Weighing terms: Pointwise Mutual Information (PMI)

	$p(w, \text{context})$					$p(w)$
	computer	data	pinch	result	sugar	$p(w)$
apricot	0	0	0.05	0	0.05	0.11
pineapple	0	0	0.05	0	0.05	0.11
digital	0.11	0.05	0	0.05	0	0.21
information	0.05	0.32	0	0.21	0	0.58
$p(\text{context})$	0.16	0.37	0.11	0.26	0.11	

Replacing the counts with joint probabilities, showing the marginals around the outside.

# Weighing terms: Pointwise Mutual Information (PMI)

	computer	data	pinch	result	sugar
apricot	0	0	2.25	0	2.25
pineapple	0	0	2.25	0	2.25
digital	1.66	0	0	0	0
information	0	0.57	0	0.47	0

The PPMI matrix showing the association between words and context words, computed from the counts again showing five dimensions. Note that the 0 PPMI values are ones that had a negative PMI; for example

$$\text{PPMI}(\text{information}, \text{computer}) = \log_2\left(\frac{0.05}{(0.16 * 0.58)}\right) = -0.618,$$

meaning that *information* and *computer* co-occur in this mini-corpus slightly less often than we would expect by chance, and with PPMI we replace negative values by zero. Many of the zero PPMI values had a PMI of  $-\infty$ , like

$$\text{PMI}(\text{apricot}, \text{computer}) = \log_2\left(\frac{0}{(0.16 * 0.11)}\right) = \log_2(0) = -\infty.$$

# Weighing terms: Pointwise Mutual Information (PMI)

- PMI has the problem of being biased toward infrequent events.
- Very rare words tend to have very high PMI values.
- One way to reduce this bias toward low frequency events is to slightly change the computation for  $P(c)$ , using a different function  $P_\alpha(c)$  that raises context to the power of  $\alpha$ :

$$PPMI_\alpha(w, c) = \max\left(\log_2 \frac{P(w, c)}{P(w)P_\alpha(c)}, 0\right)$$

$$P_\alpha(c) = \frac{\text{count}(c)^\alpha}{\sum_c \text{count}(c)^\alpha}$$

# Weighing terms: Pointwise Mutual Information (PMI)

- Another possible solution is Laplace smoothing.
- Before computing PMI, a small constant  $k$  (values of 0.1-3 are common) is added to each of the counts, shrinking all the non-zero values.
- The larger the  $k$ , the more the non-zero counts are discounted.

# Weighing terms: Pointwise Mutual Information (PMI)

	computer	data	pinch	result	sugar
apricot	2	2	3	2	3
pineapple	2	2	3	2	3
digital	4	3	2	3	2
information	3	8	2	6	2

Laplace (add-2) smoothing of the counts.

	computer	data	pinch	result	sugar
apricot	0	0	0.56	0	0.56
pineapple	0	0	0.56	0	0.56
digital	0.62	0	0	0	0
information	0	0.58	0	0.37	0

The Add-2 Laplace smoothed PPMI matrix from the add-2 smoothing counts.

# Word2vec

- We turn to an alternative method for representing a word: the use of vectors that are short (of length perhaps 50-500) and dense (most values are non-zero).
- Dense vectors work better in every NLP task than sparse vectors. While we don't completely understand all the reasons for this, we have some intuitions.

# Word2vec

- First, dense vectors may be more successfully included as features in machine learning systems.
- For example if we use 100-dimensional word embeddings as features, a classifier can just learn 100 weights to represent a function of word meaning; if we instead put in a 50,000 dimensional vector, a classifier would have to learn tens of thousands of weights for each of the sparse dimensions.
- Second, because they contain fewer parameters than sparse vectors of explicit counts, dense vectors may generalize better and help avoid overfitting.

# Word2vec

- Finally, dense vectors may do a better job of capturing synonymy than sparse vectors. For example, *car* and *automobile* are synonyms; but in a typical sparse vector representation, the *car* dimension and the *automobile* dimension are distinct dimensions.
- Because, the relationship between these two dimensions is not modeled, sparse vectors may fail to capture the similarity between a word with *car* as a neighbor and a word with *automobile* as a neighbor.

# Word2vec

- We introduce one method for very dense, short vectors, skip-gram with negative sampling, sometimes called SGNS. The skip-gram algorithm is one of two algorithms in a software package called word2vec, and so sometimes the algorithm is loosely referred to as word2vec.
- The word2vec methods are fast, efficient to train, and easily available online with code and pretrained embeddings.

# Word2vec

- The intuition of word2vec is that instead of counting how often each word  $w$  occurs near, say, *apricot*, we'll instead train a classifier on a binary prediction task: “Is word  $w$  likely to show up near *apricot*? ”
- We don't actually care about this prediction task; instead we'll take the learned classifier *weights* as the word embeddings.

# Word2vec

- The revolutionary intuition here is that we can just use running text as implicitly supervised training data for such a classifier.
- A word  $s$  that occurs near the target word *apricot* acts as gold ‘correct answer’ to the question “Is word  $w$  likely to show up near *apricot*? ”
- This avoids the need for any sort of hand-labeled supervision signal.

# Word2vec

- The intuition of skip-gram is:
  1. Treat the target word and a neighboring context word as positive examples.
  2. Randomly sample other words in the lexicon to get negative samples
  3. Use logistic regression to train a classifier to distinguish those two cases
  4. Use the regression weights as the embeddings

# Word2vec

## The classifier

- Our goal is to train a classifier such that, given a tuple  $(t, c)$  of a target word  $t$  paired with a candidate context word  $c$  (e.g. (apricot, jam) / (apricot, aardvark)) it will return the probability that  $c$  is real context word (true for jam, false for aardvark).

$$P(+|t, c)$$

- The probability that word  $c$  is not a real context word for  $t$  is:

$$P(-|t, c) = 1 - P(+|t, c)$$

# Word2vec

## The classifier

- To compute the probability  $P$ , we consider the similarity measure first:

$$\text{Similarity}(t, c) \approx t \cdot c$$

- The dot product  $t \cdot c$  is not a probability, it's just a number ranging from 0 to  $\infty$ .
- To turn the dot product into a probability, we'll use the logistic or sigmoid function  $\sigma(x)$ :

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

# Word2vec

## The classifier

- The probability that word c is a real context word for target word t is thus computed as:

$$P(+|t, c) = \frac{1}{1 + e^{-t \cdot c}}$$

- The probability that word c is **NOT** a real context word for target word t is thus computed as:

$$\begin{aligned} P(-|t, c) &= 1 - P(+|t, c) \\ &= \frac{e^{-t \cdot c}}{1 + e^{-t \cdot c}} \end{aligned}$$

- $P(+|t, c)$  &  $P(-|t, c)$  give us the probability for one word, but we need to take account of the multiple context words in the window.

# Word2vec

## The classifier

- **Skip-gram** makes the strong but very useful simplifying assumption that all context words are independent, allowing us to just simply their probabilities.

$$P(+)|t, c_{1:L}) = \prod_{i=1}^L \frac{1}{1 + e^{-t \cdot c_i}}$$
$$\log P(+)|t, c_{1:L}) = \sum_{i=1}^L \log \frac{1}{1 + e^{-t \cdot c_i}}$$

- In summary, skip-gram trains a probabilistic classifier that, given a test target word  $t$  and its context window of  $L$  words  $c_{1:L}$ , assigns a probability based on how similar this context window is to the target word.

# Word2vec

## Learning skip-gram embeddings

- Word2vec learns embeddings by starting with an initial set of embedding vectors and then iteratively shifting the embedding of each word  $w$  to be more like the embeddings of words that occur nearby in texts, and less like the embeddings of words that don't occur nearby.
- Let's start by considering a single piece of the training data, from the sentence above:

... lemon, a[ tablespoon of apricot jam, a] pinch...  
                  c1                  c2 t                  c3              c4

# Word2vec

## Learning skip-gram embeddings

- This example has a target word  $t$  (apricot), and 4 context words in the  $L = \pm 2$  window, resulting in 4 positive training instances (on the left below):

**Positive examples +**

t	c
apricot	tablespoon
apricot	of
apricot	preserves
apricot	or

**Negative examples -**

t	c	t	c
apricot	aardvark	apricot	twelve
apricot	puddle	apricot	hello
apricot	where	apricot	dear
apricot	coaxial	apricot	forever

# Word2vec

## Learning skip-gram embeddings

- For training a binary classifier we also need negative examples, and in fact skip-gram uses more negative examples than positive examples, the ratio set by a parameter  $k$ .
- For each of these  $(t, c)$  training instances we'll create  $k$  negative samples, each consisting of the target  $t$  plus a ‘noise word’.
- A noise word is a random word from the lexicon, constrained not to be the target word  $t$ . The above shows the setting where  $k = 2$ , so we'll have 2 negative examples in the negative training set for each positive example  $t, c$ .

# Word2vec

## Learning skip-gram embeddings

- The noise words are chosen according to their unigram frequency  $p(w)$ 
  - If we were sampling according to unweighted frequency  $p(w)$ , it would mean that with unigram probability  $p("the")$  we would choose the word *the* as a noise word, with unigram probability  $p("aardvark")$  we would choose *aardvark*, and so on.
- But in practice, it is common to use weighted unigram frequency  $p_\alpha(w)$ , where  $\alpha$  is a weight.

$$P_\alpha(w) = \frac{\text{count}(w)^\alpha}{\sum_{w'} \text{count}(w')^\alpha}$$

# Word2vec

## Learning skip-gram embeddings

- It is common to set  $\alpha = 0.75$ . For rare words,  $P_\alpha(w) > P(w)$
- For example, If  $P(a) = 0.99$  and  $P(b) = 0.01$ , then:

$$P_\alpha(a) = \frac{.99^{.75}}{.99^{.75} + .01^{.75}} = .97$$
$$P_\alpha(b) = \frac{.01^{.75}}{.99^{.75} + .01^{.75}} = .03$$

# Word2vec

## Learning skip-gram embeddings

- Given the set of positive and negative training instances, and an initial set of embeddings, the goal of the learning algorithm is to adjust those embeddings such that:
  - Maximize the similarity of the target word, context word pairs  $(t, c)$  drawn from the positive examples
  - Minimize the similarity of the  $(t, c)$  pairs drawn from the negative examples.
- We can express this formally over the whole training set as:

$$L(\theta) = \sum_{(t,c) \in +} \log P(+|t, c) + \sum_{(t,c) \in -} \log P(-|t, c)$$

# Word2vec

## Learning skip-gram embeddings

- Or, focusing in on one word/context pair  $(t, c)$  with its  $k$  noise words  $n_1 \dots n_k$ , the learning objective  $L$  is:

$$\begin{aligned} L(\theta) &= \log P(+) | t, c) + \sum_{i=1}^k \log P(- | t, n_i) \\ &= \log \sigma(c \cdot t) + \sum_{i=1}^k \log \sigma(-n_i \cdot t) \\ &= \log \frac{1}{1+e^{-c \cdot t}} + \sum_{i=1}^k \log \frac{1}{1+e^{n_i \cdot t}} \end{aligned}$$

# Word2vec

## Learning skip-gram embeddings

- We want to maximize the dot product of the word with the actual context words, and minimize the dot products of the word with the  $k$  negative sampled non-neighbor words.
- Then use stochastic gradient descent to train to this objective, iteratively modifying the parameters (the embeddings for each target word  $t$  and each context word or noise word  $c$  in the vocabulary) to maximize the objective.

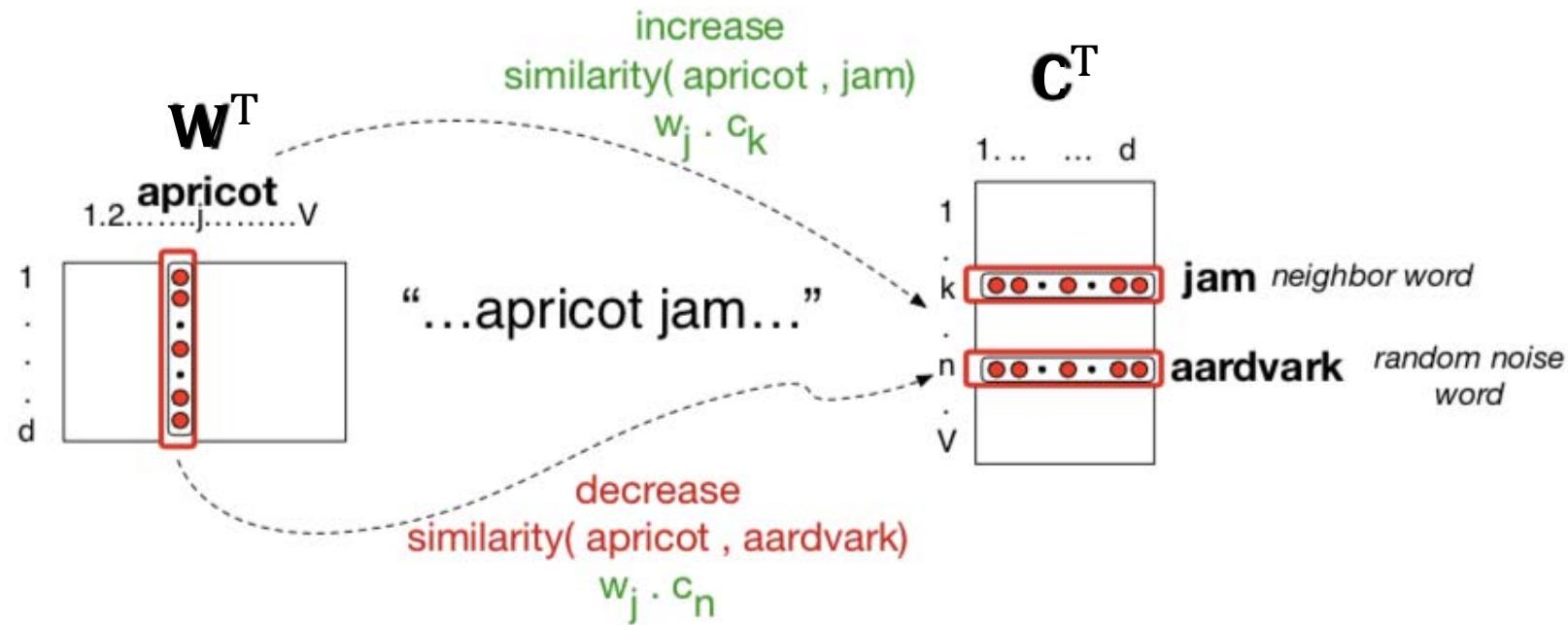
# Word2vec

## Learning skip-gram embeddings

- The skip-gram model thus actually learns two separate embeddings for each word  $w$ : the target embedding  $t$  and the context embedding  $c$ .
- These embeddings are stored in two matrices, the target matrix  $W$  and the context matrix  $C$ . So each row  $i$  of the target matrix  $W$  is the  $1 \times d$  vector embedding  $t_i$  for word  $i$  in the vocabulary  $V$ , and each column  $i$  of the context matrix  $C$  is a  $d \times 1$  vector embedding  $c_i$  for word  $i$  in  $V$ .

# Word2vec

## Learning skip-gram embeddings



The skip-gram model tries to shift embeddings so the target embedding (here for *apricot*) are closer to (have a higher dot product with) context embeddings for nearby words (here *jam*) and further from (have a lower dot product with) context embeddings for words that don't occur nearby (here *aardvark*).

# Word2vec

## Learning skip-gram embeddings

- The learning algorithm starts with randomly initialized  $W$  and  $C$  matrices, and then walks through the training corpus using gradient descent to move  $W$  and  $C$  so as to maximize the above objective.
- The matrices  $W$  and  $C$  function as the parameters  $\theta$  that logistic regression is tuning.
- Once the embeddings are learned, we'll have two embeddings for each word  $w_i$ :  $t_i$  and  $c_i$ .
- We can choose to throw away the  $C$  matrix and just keep  $W$ , in which case each word  $i$  will be represented by the vector  $t_i$ .

# Word2vec

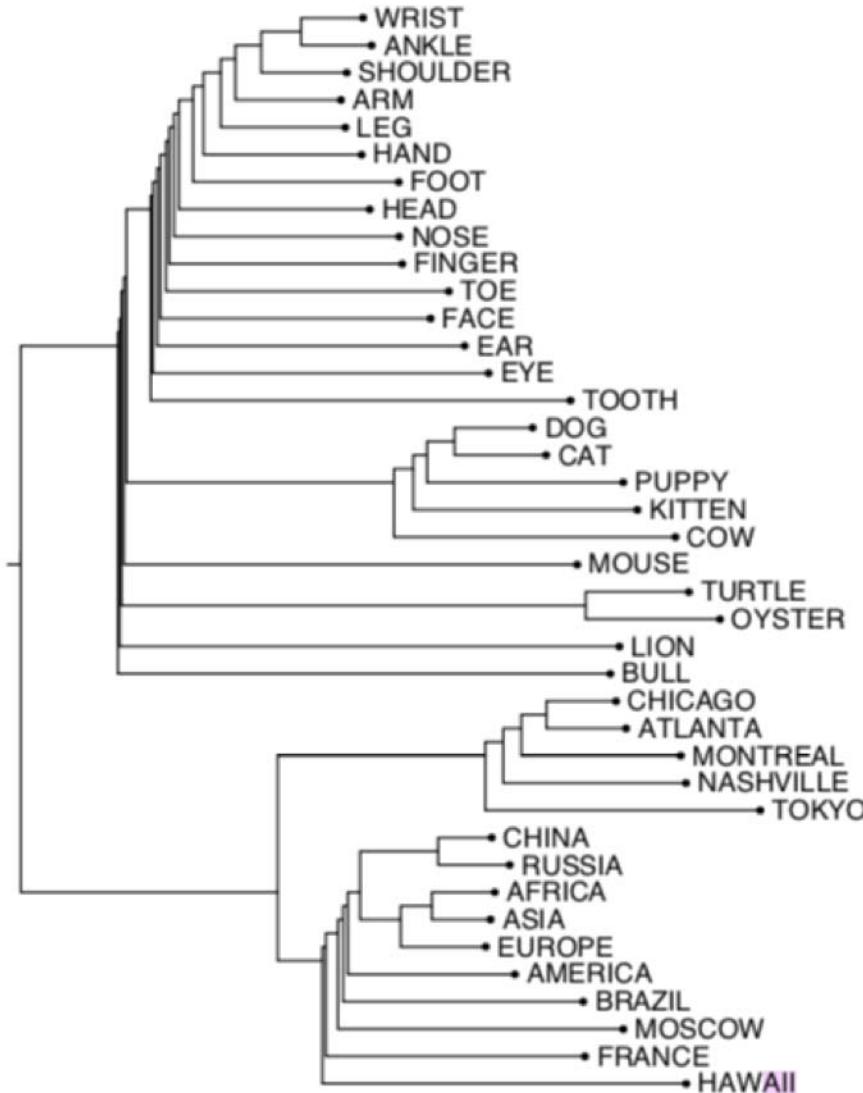
## Learning skip-gram embeddings

- Alternatively we can add the two embeddings together, using the summed embedding  $t_i + c_i$  as the new  $d$ -dimensional embedding, or we can concatenate them into an embedding of dimensionality  $2d$ .
- The context window size  $L$  effects the performance of skip-gram embeddings.
- The larger the window size the more computation the algorithm requires for training (more neighboring words must be predicted).

# Visualizing Embeddings

- How can we visualize a (e.g.) 100-dimensional vector?
- The simplest way to visualize the meaning of a word  $w$  embed is to list the most similar words to  $w$  sorting all words in the vocabulary by their cosines.

# Visualizing Embeddings



Yet another visualization method is to use a clustering algorithm to show a hierarchical representation of which words are similar to others in the embedding space.

The example on the left uses hierarchical clustering of some embedding vectors for nouns as a visualization method.

# Visualizing Embeddings

- Probably the most common visualization method, however, is to project the 100 dimensions of a word down into 2 dimensions.

# Semantic Properties of Embeddings

- Vector semantics models have a number of parameters. One parameter that is relevant to both sparse td-idf vectors and dense word2vec vectors is the size of the context window used to collect counts.
  - Generally between 1 and 10 words each side of the target word (for a total context of 3-20 words).

# Semantic Properties of Embeddings

- Shorter context windows tend to lead to representations that are a bit more syntactic, since the information is coming from immediately nearby words.
- When the vectors are computed from short context windows, the most similar words to a target word  $w$  tend to be semantically similar words with the same parts of speech.
- When vectors are computed from long context windows, the highest cosine words to a target word  $w$  tend to be words that are topically related but not similar.

# Semantic Properties of Embeddings

- For example it is shown that using skip-gram with a window of  $\pm 2$ , the most similar words to the word *Hogwarts* (from the Harry Potter series) were names of other fictional schools: *Sunnydale* (from *Buffy the Vampire Slayer*) or *Evernight* (from a vampire series). With a window of  $\pm 5$ , the most similar words to *Hogwarts* were other words topically related to the Harry Potter series: *Dumbledore*, *Malfoy*, and *half-blood*.

# Semantic Properties of Embeddings

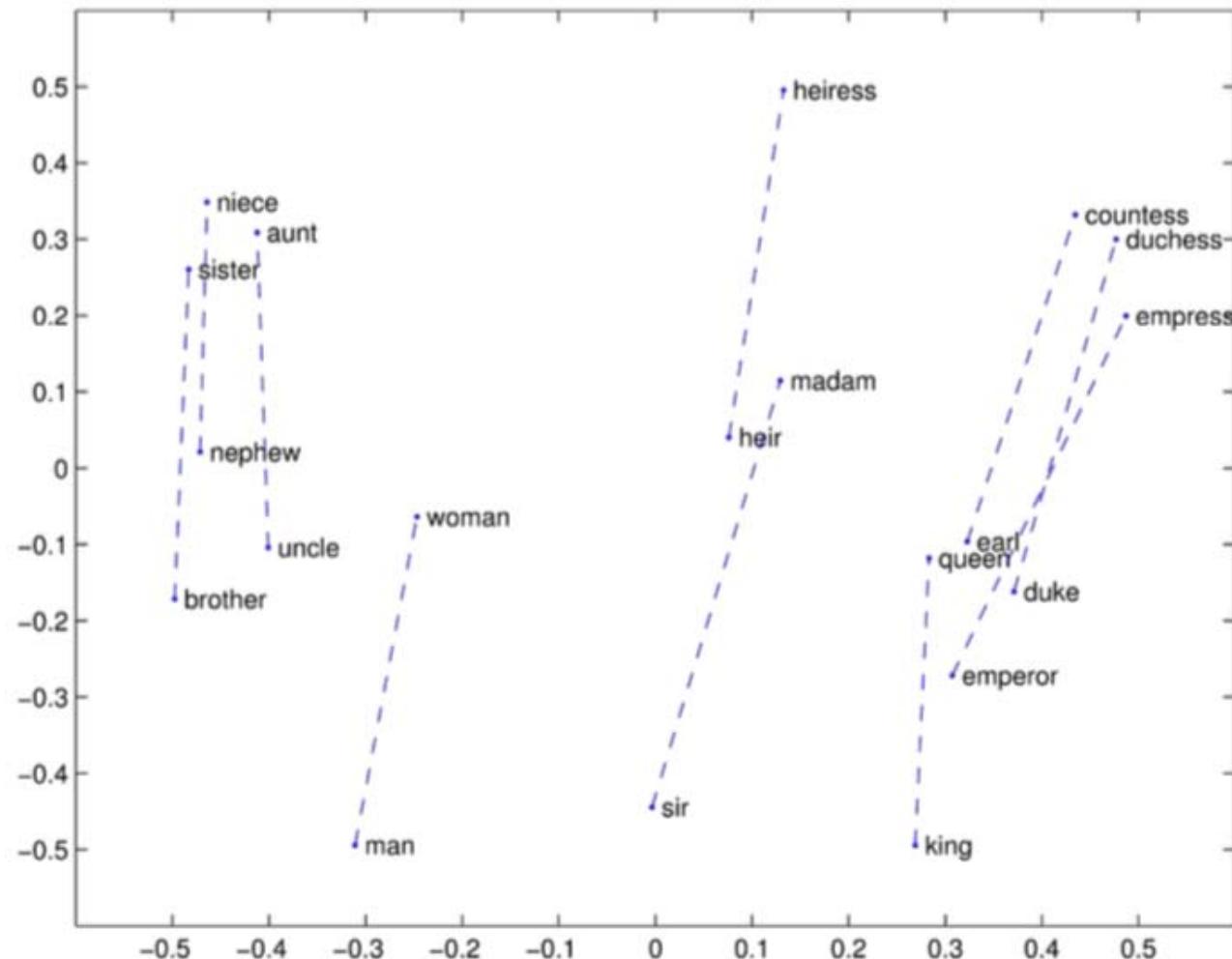
- Two words have first-order co-occurrence if they are typically nearby each other. Thus *wrote* is a first-order associate of *book* or *poem*. Two words have second-order co-occurrence if they have similar neighbors. Thus *wrote* is a second-order associate of words like *said* or *remarked*.

## Analogy

- Another semantic property of embeddings is their ability to capture relational meanings.
- It is shown that the *offsets* between vector embeddings can capture some analogical relations between words.

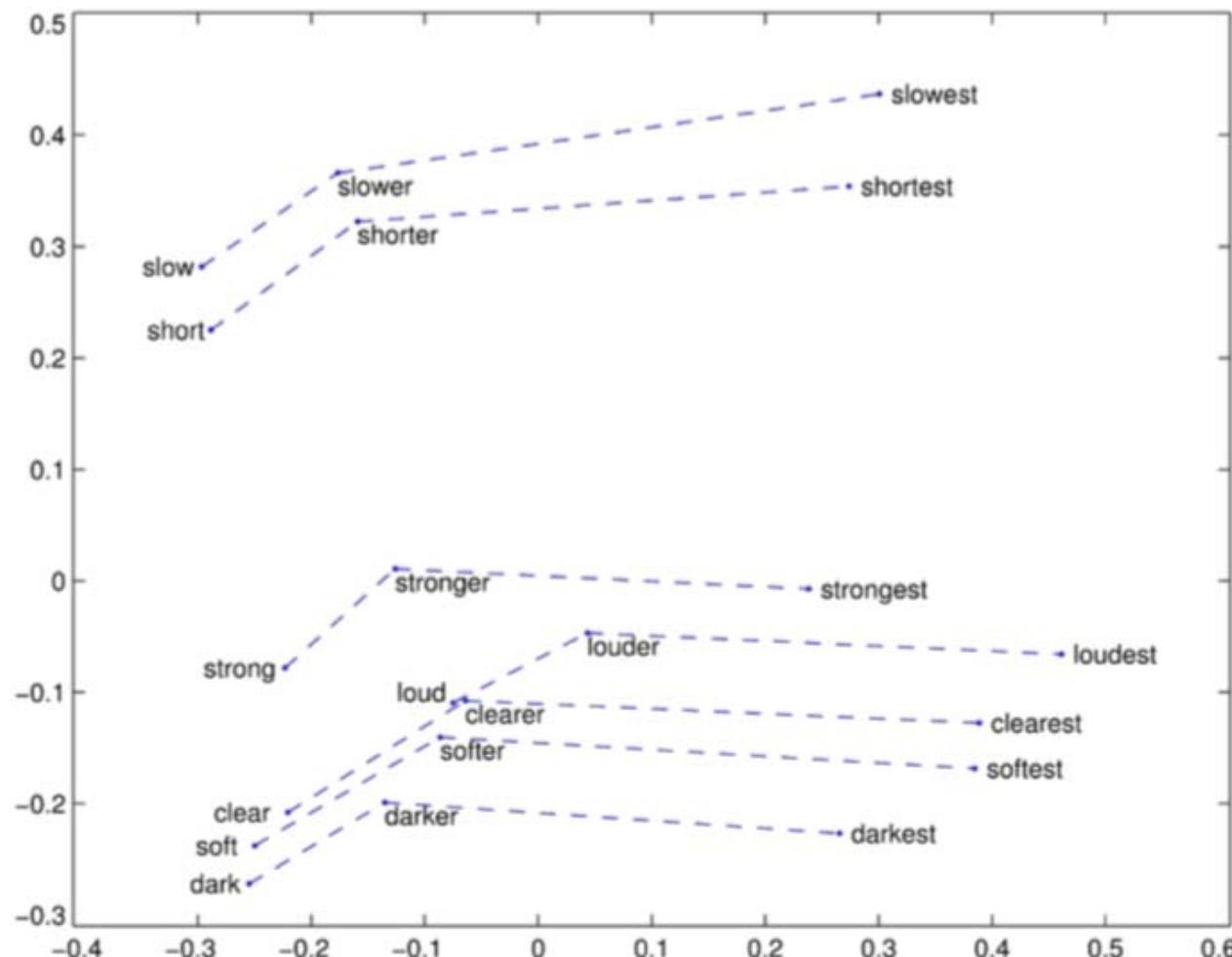
# Semantic Properties of Embeddings

Relational properties of the vector space, shown by projecting vectors onto two dimensions. ‘king’ – ‘man’ + ‘woman’ is close to ‘queen’



# Semantic Properties of Embeddings

Relational properties of the vector space, shown by projecting vectors onto two dimensions. Offsets seem to capture comparative and superlative morphology

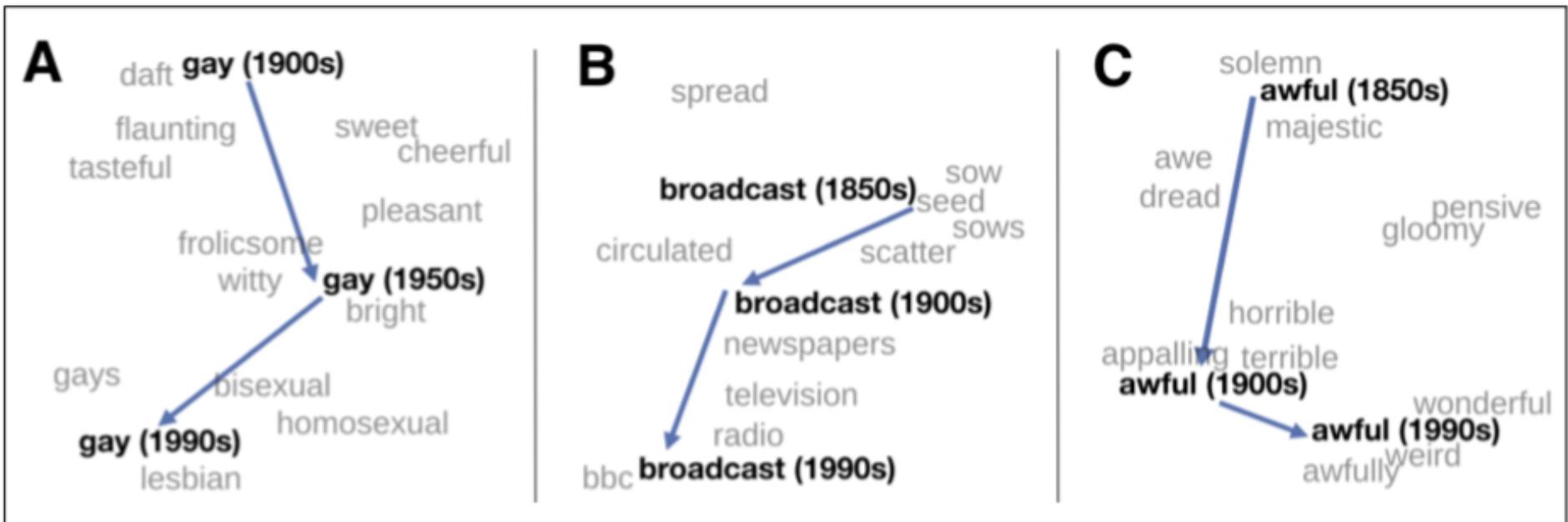


# Semantic Properties of Embeddings

## Embeddings and Historical Semantics:

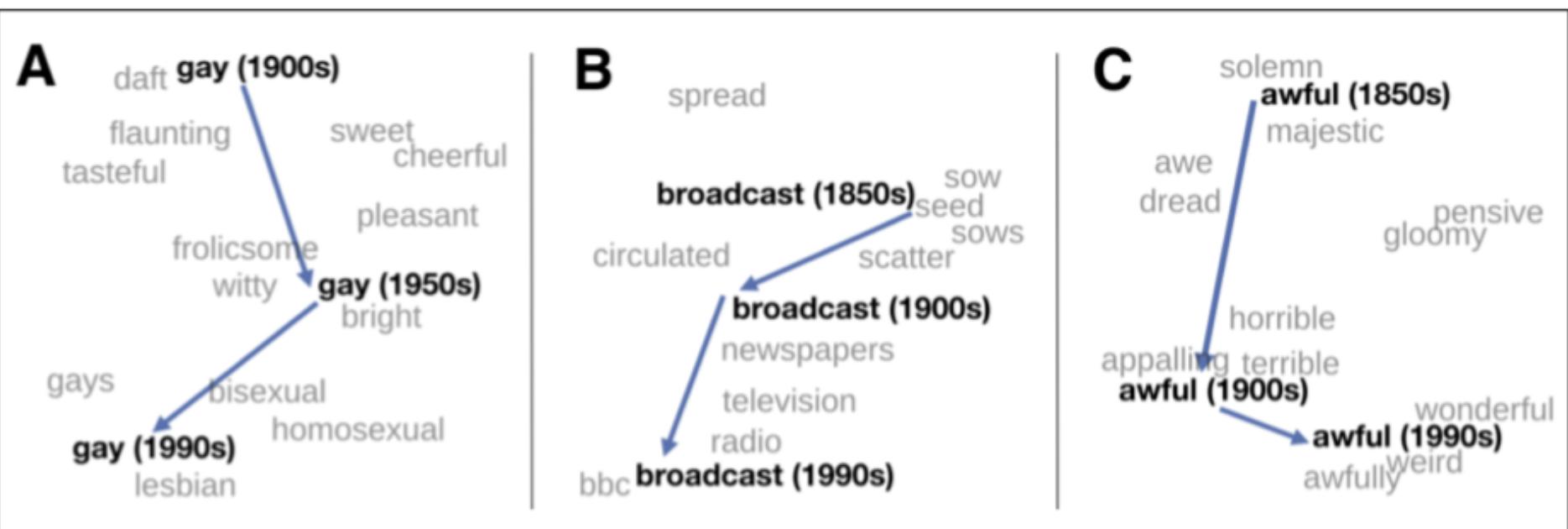
- Embeddings can also be a useful tool for studying how meaning changes over time, by computing multiple embedding spaces, each from texts written in a particular time period.
- For example the below figure shows a visualization of changes in meaning in English words over the last two centuries, computed by building separate embedding spaces for each decade from historical corpora like Google N-grams and the Corpus of Historical American English.

# Semantic Properties of Embeddings



A t-SNE visualization of the semantic change of 3 words in English using word2vec vectors. The modern sense of each word, and the grey context words, are computed from the most recent (modern) time-point embedding space. Earlier points are computed from earlier historical embedding spaces...

# Semantic Properties of Embeddings



The visualizations show the changes in the word *gay* from meanings related to “cheerful” or “frolicsome” to referring to homosexuality, the development of the modern “transmission” sense of *broadcast* from its original sense of sowing seeds, and the pejoration of the word *awful* as it shifted from meaning “full of awe” to meaning “terrible or appalling”